As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

Lelieve I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

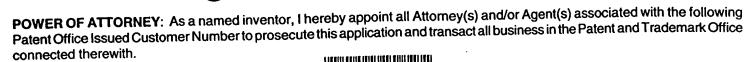
SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) $_{\rm L}$ is attached hereto or $_{\rm L}$ was filed on $_{\rm L}$ was filed on $_{\rm L}$ as Application Serial No. $_{\rm L}$ 09/866,028 and was amended on $_{\rm L}$ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

Lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

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		code, §119(a)-(d) of any foreign application(s) for patent or
I hereby claim foreign priority be	enefits under Title 55, Office Otates 5	Code, §119(a)-(d) of any foreign application(oreign application for patent or inventor's ce	rtificate nave a
filing date before that of the ap	plication on which priority is claimed		
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Number Count	ry Day/Month/Tear i	iicu	
		of any United States provisional applications	(s) listed below:
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Lhateby claim the benefit unde	er Title 35, United States Code, §120 c	of any United States applications(s) listed be lisclosed in the prior United States application 2, I acknowledge the duty to disclose materia	on in the manner
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Application Ser. No.	i mig Date		



09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

South San Francisco, CA 94080

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

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ull name of sole or first inventor	
Kevin P. Baker	
nventor's signature	Date
Residence 14006 Indian Run Drive, Darnestown, Maryland 20878	
‡ Citteenship Gឱ្យា	·
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full-name of second joint inventor, if any Dayld Botstein	
Inventor's signature Wed House	- 8-1-01
2539 Somerset Drive, Belmont, California 94002	
Citizenship US	· ·
Post Office Address 1 DNA Way South San Francisco, CA 94080	•
Full name of third joint inventor, if any	
Dan L. Eaton	Date
Inventor's signature	
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Citizenship US	
Post Office Address	

name of forth inventor	
poleone Ferrara	Date
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sidence 90 Pacific Avenue #704, San Francisco, California 94109	
tizenship S	
ost Office Address DNA Way South San Francisco, CA 94080	
ull name of fifth joint inventor, if any	
Ellen Filvaroff	Date
nventor's signature	
Residence 538 18 th Avenue, San Francisco, California 94121	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	·
Full-name of sixth joint inventor, if any	
Mag-E. Gerritsen	Date ·
Inventor's signature	
Residence 541 Parrott Drive, San Mateo, California 94402	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventh inventor	
Audrey Goddard	Date
Inventor's signature	
Residence 110 Congo Street, San Francisco, California 94131	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	



I name of eighth joint inventor, if any	
aul J. Godowski	Date
entor's signature	
esidence 627 Easton Drive, Burlingame, California 94010	
tizenship IS	
ost Office Address DNA Way South San Francisco, CA 94080	
ull name of ninth joint inventor, if any	
. Christopher Grimaldi	Date
nventor's signature	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full pame of tenth inventor	·
Ausin L. Gumey	Date
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Citizenship	
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Full name of eleventh joint inventor, if any	
Kenneth J. Hillan	Date ·
Inventor's signature	
Residence 64 Seward Street, San Francisco, California 94114	
Citizenship GB	
Post Office Address 1 DNA Way South San Francisco, CA 94080	



ame of twelfth joint inventor, if any	Date
J. Kljavin	
intor's signature	
idence 1 Dell Road, Pacifica, California 94044	
izenship S	
ost Office Address DNA Way outh San Francisco, CA 94080	
JII name of thirteenth inventor	
tary A. Napier	Date
ventor's signature	
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Citizenship US —	
Post Office Address 1 Divid Way South San Francisco, CA 94080	
Full name of fourteenth joint inventor, if any	
Magaret Ann Roy	Date
Inventor's signature	
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Full name of sixteenth inventor	
William I. Wood	Date
Inventor's signature	
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

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APPENDIX A

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

60/067,411 filed December 3, 1997; 60/069,334 filed December 11, 1997; 60/069335 filed December 11, 1997; 60/069,278 filed December 11, 1997; 60/069,425 filed December 12, 1997; 60/069,696 filed December 16, 1997; 60/069,694 filed December 16, 1997; 60/069,702 filed December 16, 1997; 60/069,870 filed December 17, 1997; 60/069,873 filed December 17, 1997; 60/068,017 filed December 18, 1997; 60/070,440 filed January 5, 1998; 60/074,086 filed February 9, 1998; 60\overline{10}74,092 filed February 9, 1998; 60 75,945 filed February 25, 1998; 60 12, 12,850 filed December 16, 1998; 60A 13,296 filed December 22, 1998; 60/146,222 filed July 28, 1999.

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APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT patent applications listed below:

PCT/US98/19330 filed September 16, 1998;

PCT/US98/25108 filed December 1, 1998;

09/216,021 filed December 16, 1998;

09/218,517 filed December 22, 1998;

09/254,311 filed March 3, 1999;

PCT/US99/12252 filed June 2, 1999;

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PCT/US99/28409 filed November 30, 1999;

PCT/US99/28313 filed November 30, 1999;

PCT/US99/28301 filed December1, 1999;

PC=/US99/30095 filed December 16, 1999;

PCT/US00/03565 filed February 11, 2000;

PCT/US00/04414 filed February 22, 2000;

PCT/US00/05841 filed March 2, 2000;

PCT/US00/08439 filed March 30, 2000;

PCT/US00/14042 filed May 22, 2000;

PCT/US00/20710 filed July 28, 2000;

PCT/US00/32678 filed December 1, 2000;

PCT/US01/06520 filed February 28, 2001.

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SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

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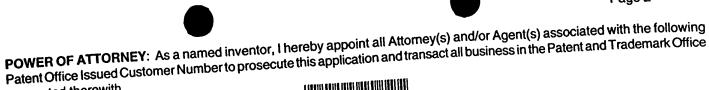
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Thereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

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Prior Foreign Application(s):			Yes No
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See Attached Appendix B Application Ser. No.	(listing 19 U.S. and Per Filing Date		
Application Ser. No.	Filing Date	Status: Patented, Pending	g, Abandoned







Send correspondence to Genentech, Inc.

connected therewith.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

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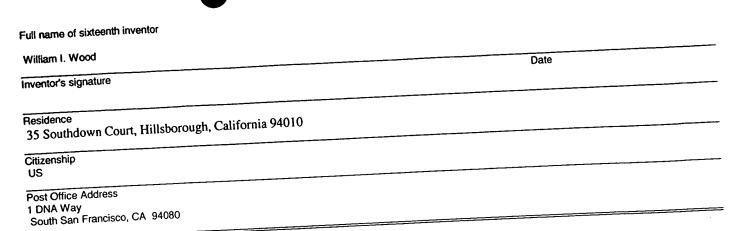
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Full name of seventh inventor	
Audrey Goddard	Date
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Augin L. Gurney	Date
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South San Francisco, CA 94080 Full name of fifteenth joint inventor, if any	
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Post Office Address 1 DNA Way	



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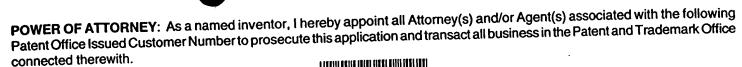
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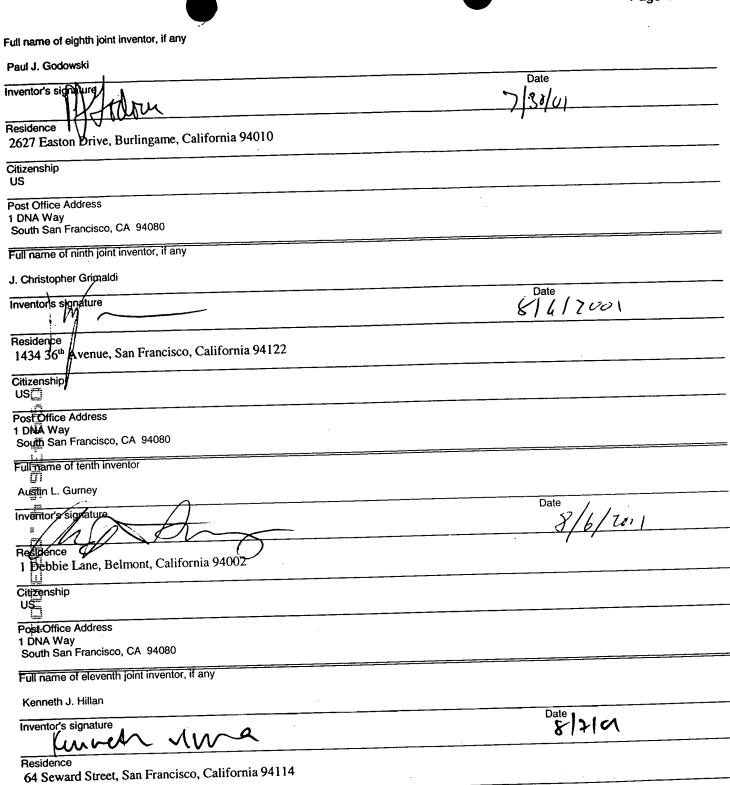
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herein will be so notified by the undersigned.	
Full name of sole or first inventor	
Kevin P. Baker	
Inventor's signature	Date
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Full-name of second joint inventor, if any	
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Inventor's signature	Date
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Full name of third joint inventor, if any	
Dan L. Eaton	Date
Inventor's signature	Bate
Residence 75 Knight Drive. San Rafael, California 94901	
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Post Office Address 1 DMA Way South San Francisco, CA 94080	
Full_name of sixth joint inventor, if any	
Mary E. Gerritsen	
Inventor's signature	Date 8/6/01
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Residence 5411 Parrott Drive, San Mateo, California 94402	
Citizenship	
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Full name of seventh inventor	
Audrey Goddard	
Inventor's signature	Date 8/1/01
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Citizenship GB

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1 DNA Way

South San Francisco, CA 94080



Page 5

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William I. Wood

Inventor's eignature

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APPENDIX A

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60/067,411 filed December 3, 1997; 60/069,334 filed December 11, 1997; 60/069335 filed December 11, 1997; 60/069,278 filed December 11, 1997; 60/069,425 filed December 12, 1997; 60/069,696 filed December 16, 1997; 60/069,694 filed December 16, 1997; 60/069,702 filed December 16, 1997; 60/069,870 filed December 17, 1997; 60/069,873 filed December 17, 1997; 60/068,017 filed December 18, 1997; 60/070,440 filed January 5, 1998; 60/074,086 filed February 9, 1998; 60\overline{\overline{0}}74,092 filed February 9, 1998; 60/675,945 filed February 25, 1998; 60 12,850 filed December 16, 1998; 60年13,296 filed December 22, 1998; 60/446,222 filed July 28, 1999.

APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT patent applications listed below:

PCT/US98/19330 filed September 16, 1998;

PCT/US98/25108 filed December 1, 1998;

09/216,021 filed December 16, 1998;

09/218,517 filed December 22, 1998;

09/254,311 filed March 3, 1999;

PCT/US99/12252 filed June 2, 1999;

PCT/US99/21090 filed September 15, 1999;

PCT/US99/28409 filed November 30, 1999;

PCT/US99/28313 filed November 30, 1999;

PCT/US99/28301 filed December1, 1999;

PCT/US99/30095 filed December 16, 1999;

PCT/US00/03565 filed February 11, 2000;

PCT/US00/04414 filed February 22, 2000;

P@T/US00/05841 filed March 2, 2000;

PCT/US00/08439 filed March 30, 2000;

PCT/US00/14042 filed May 22, 2000;

PET/US00/20710 filed July 28, 2000;

PCT/US00/32678 filed December 1, 2000;

PCT/US01/06520 filed February 28, 2001.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or \underline{X} was filed on $\underline{May 25, 2001}$ as Application Serial No. $\underline{09/866,028}$ and was amended on _ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as

I hereby state that I have reviewed amended by any amendment refe	erred to above.	
A - 4 - A EARANS RADIUS 1005 OF	CHOH 1.50.	the examination of this application in accordance with Title 37,
		es Code, §119(a)-(d) of any foreign application(s) for patent or ny foreign application for patent or inventor's certificate have a
filing date before that of the appli	cation on which priority is claim	
		Priority Claimed
Prior Foreign Application(s):		<u>Yes No</u>
- Francisco	D // Annah Mas	or Filed
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T	Code 8119	(e) of any United States provisional applications(s) listed below:
Thereby claim the benefit under Ti	de 35, United States Code, § 113	0(e) of any United States provisional applications(s) listed below:
See attached Appendix A	ar at 40 U.S. Provisio	nal Patent Applications)
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Thereby claim the benefit under I	the staims of this application is no	20 of any United States applications(s) listed below the manne of disclosed in the prior United States application in the manne 112, I acknowledge the duty to disclose material information as
The subject matter of each of the	ne ciairis or tris approxima	
provided by the first paragraph of	oral Regulations &1.56 which or	112, I acknowledge the duty to disclose material means and the occurred between the filing date of the prior application and the
defined in 1 itle 37, Code of Federational or PCT international file	ing date of this application:	
national or PC1 international in	ing date of this appare	
See Attached Appendix	R (listing 19 U.S. and PC	T Patent Applications)
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		Status: Patented, Pending, Abandoned
Application Ser. No.	Filing Date	Status: Paterneu, Pending, Abancente





POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157
PATENT TRADEMARK OFFICE

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South San Francisco, CA 94080

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

Thereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

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herein will be so notified by the undersigned.	
Full name of sole or first inventor	
Kevin P. Baker	Date
Inventor's signature	
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GB	
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Full name of second joint inventor, it says	
Daylid Botstein	Date
Inventor's signature	Duito
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Dan L. Eaton	
	Date
Inventor's signature	
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Residence 75 Knight Drive, San Rafael, California 94901	
Citizenship	
US	
Post Office Address	
LMI Auga :	

Il name of forth inventor	
apoleone Ferrara	Date
ventor's signature	
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itizenship JS	
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Ellen Filvaroff	Date
Inventor's signature	
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Inventor's signature	Dule
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Citizenship CA	
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Full name of seventh inventor	
Audrey Goddard	Date
Inventor's signature	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of eighth joint inventor, if any

aul J. Godowski	Date
ventor's signature	
esidence 627 Easton Drive, Burlingame, California 94010	
tizenship IS	
ost Office Address DNA Way South San Francisco, CA 94080	
ull name of ninth joint inventor, if any	•
. Christopher Grimaldi	Date
nventor's signature	
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Full name of tenth inventor G Austin L. Gurney	Date
Inventor's signature	
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Citizenship	
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Inventor's signature	
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Citizenship GB	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twelfth joint inventor, if any

Ivar J. Kljavin

	Date
wentor's signature	1
67-25-0	
esidence	•
B11 Dell Road, Pacifica, California 94044	
itizenship	
us	
Post Office Address	
DNA Way	
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ull name of thirteenth inventor	,
Mary A. Napier	Doto
nventor's signature	Date
Residence	
1015 Hayne Road, Hillsborough, California 94010	
Citizenship US 🗐	•
Post Office Address	
1 DN <u>X</u> Way South San Francisco, CA 94080	
Full name of fourteenth joint inventor, if any	
Makgaret Ann Roy	
	Date
Inventor's signature	
Residence	
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Citizenship	
UST	
Post Office Address	·
1 DNA Way	
South San Francisco, CA 94080	
Full name of fifteenth joint inventor, if any	
Daniel Tumas	
Inventor's signature	Date
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Residence	
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Citizenship	
US	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	
South San Halloisco, On Choos	

Full name of sixteenth inventor

William I. Wood		
Inventor's signature	Date	
Residence 35 Southdown Court, Hillsborough, California 94010		
Citizenship US		
Post Office Address 1 DNA Way South San Francisco, CA 94080		

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H

APPENDIX B

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Code of Federal Regulations, Section 1.56.

amended by any amendment referred to above. lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing, date before that of the application on which priority is claimed: **Priority Claimed** Prior Foreign Application(s): No_ Yes Day/Month/Year Filed Country Number I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: See attached Appendix A (listing 18 U.S. Provisional Patent Applications) Filing Date Application Ser. No. I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: See Attached Appendix B (listing 19 U.S. and PCT Patent Applications) Status: Patented, Pending, Abandoned Filing Date Application Ser. No. Status: Patented, Pending, Abandoned Filing Date Application Ser. No.





POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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South San Francisco, CA 94080

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Kevin P. Baker Inventor's signature Besigence 14006 Indian Run Drive, Darnestown, Maryland 20878 Citizenship GB PostOffice Address 1 DNA Way South San Francisco, CA 94080 Full name of second joint inventor, if any David Botstein Inventor's signature Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of second joint inventor, if any David Botstein Date Full name of third joint inventor, if any David Botstein Date Full name of third joint inventor, if any Dan L. Eaton Inventor's signature Date Residence	
Inventor's signature 10 Residence 14006 Indian Run Drive, Darnestown, Maryland 20878 Citizenship GB III Pare of Second Joint Inventor, If any David Botstein Inventor's signature 2539 Somerset Drive, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of second Joint Inventor, If any David Botstein Date 1 Date 1 Date 1 Date 1 Date 2 Date 2 Date 2 Date 2 Date 2 Date 3 DNA Way South San Francisco, CA 94080 Full name of third Joint Inventor, If any Dan L. Eaton Inventor's signature Date Passidence	
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David Botstein Inventor's signature Date Residence 2539 Somerset Drive, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of third joint inventor, if any Dan L. Eaton Inventor's signature Date	
Inventor's signature Pasidence 2539 Somerset Drive, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of third joint inventor, if any Dan L. Eaton Inventor's signature Date	
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Full name of third joint inventor, if any Dan L. Eaton Inventor's signature Date	
Dan L. Eaton Inventor's signature Date	
Inventor's signature Residence	
Inventor's signature Residence	
Residence	
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all name of forth inventor	
apoleone Ferrara	Date
ventor's signature	Date
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ull name of fifth joint inventor, if any	
Ellen Filvaroff	Date
nventor's signature	
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ப் : Mary-E. Gerritsen	Date
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Citizenship CA	
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Full name of eighth joint inventor, if any Paul J. Godowski Date Inventor's signature Residence 2627 Easton Drive, Burlingame, California 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any J. Christopher Grimaldi Date Inventor's signature Residence 1434 36th Avenue, San Francisco, California 94122 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth inventor Austin L. Gurney Date Inventor's signature Residence 1 Debbie Lane, Belmont, California 94002 Citizenship บร Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any Kenneth J. Hillan Date Inventor's signature Residence 64 Seward Street, San Francisco, California 94114 Citizenship GB

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Inventor's signature	Date
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
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Inventor's signature Residence 1015 Hayne Road, Hillsborough, California 94010	Date Date
Citizenship US —	
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William I. Wood	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

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PC=/US00/20710 filed July 28, 2000;

PCT/US00/32678 filed December 1, 2000;

PCT/US01/06520 filed February 28, 2001.

48

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

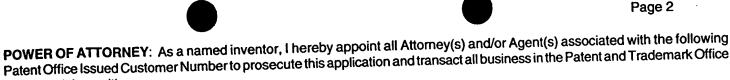
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inventor's certificate listed belo	benefits under Title 35, United Stat ow and have also identified below a application on which priority is clai	ites Code, §119(a)-(d) of any foreign application(s) for patent o any foreign application for patent or inventor's certificate have a imed:
Prior Foreign Application(s):		Priority Claimed Yes No
Number Cour		ear Filed 19(e) of any United States provisional applications(s) listed below
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PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

South San Francisco, CA 94080

connected therewith.

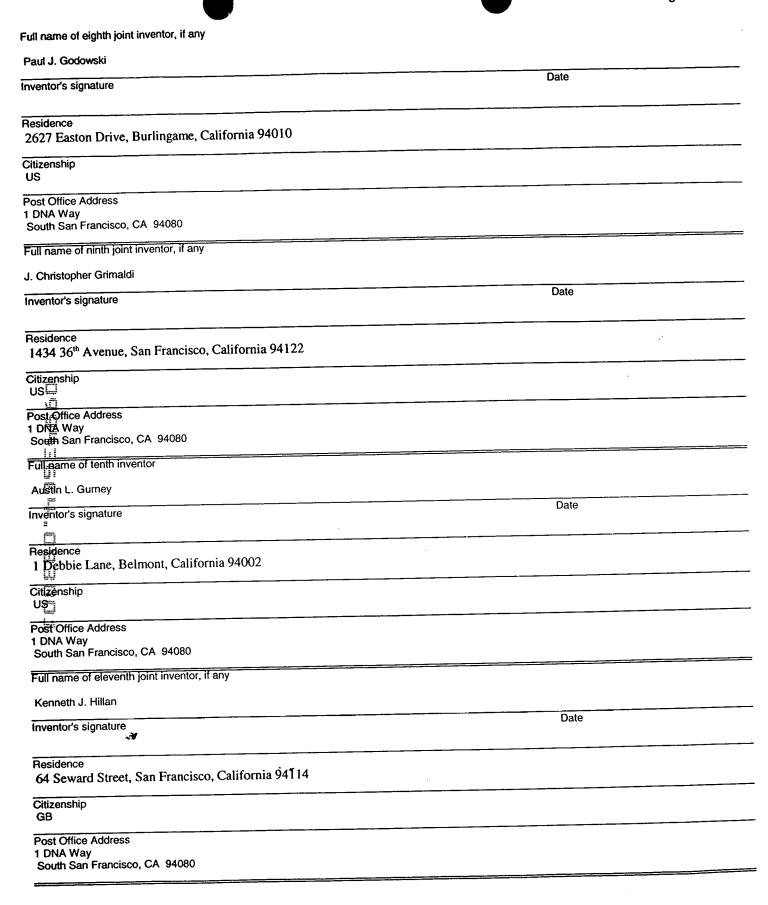
Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named

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COOCHOCH LOCALOCA

Full name of sixteenth inventor

APPENDIX A

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

60/067,411 filed December 3, 1997; 60/069,334 filed December 11, 1997; 60/069335 filed December 11, 1997; 60/069,278 filed December 11, 1997; 60/069,425 filed December 12, 1997; 60/069,696 filed December 16, 1997; 60/069,694 filed December 16, 1997; 60/069,702 filed December 16, 1997; 60/069,870 filed December 17, 1997; 60/069,873 filed December 17, 1997; 60/968,017 filed December 18, 1997; 60/070,440 filed January 5, 1998; 60/074,086 filed February 9, 1998; 60\overline{10}74,092 filed February 9, 1998; 60/075,945 filed February 25, 1998; 60/112,850 filed December 16, 1998; 60 13,296 filed December 22, 1998; 60/146,222 filed July 28, 1999.

APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT patent applications listed below:

PCT/US98/19330 filed September 16, 1998;

PCT/US98/25108 filed December 1, 1998;

09/216,021 filed December 16, 1998;

09/218,517 filed December 22, 1998;

09/254,311 filed March 3, 1999;

PCT/US99/12252 filed June 2, 1999;

PCT/US99/21090 filed September 15, 1999;

PCT/US99/28409 filed November 30, 1999;

PCT/US99/28313 filed November 30, 1999;

PCT/US99/28301 filed December1, 1999;

PCT/US99/30095 filed December 16, 1999;

PGT/US00/03565 filed February 11, 2000;

PCT/US00/04414 filed February 22, 2000;

PCT/US00/05841 filed March 2, 2000;

P€T/US00/08439 filed March 30, 2000;

PCT/US00/14042 filed May 22, 2000;

PCT/US00/20710 filed July 28, 2000;

PET/US00/32678 filed December 1, 2000;

P€T/US01/06520 filed February 28, 2001.